State of Ohio v. Aalim: Due Process and Mandatory Transfer of Juveniles to Adult Court

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STATE OF OHIO V. AALIM: DUE PROCESS AND MANDATORY TRANSFER OF JUVENILES TO ADULT COURT

Jesse Knowlden

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I. INTRODUCTION

Ohio law requires that juveniles accused of committing certain crimes are automatically removed from the jurisdiction of the juvenile court and transferred to adult court if two requirements are satisfied: (1) they are sixteen or older at the time of the commission of the act charged and (2)
there is probable cause to believe that they committed the act charged.\footnote{Ohio Rev. Code Ann. § 2152.10(A)(2)(b) (2017); Ohio Rev. Code Ann. §2152.12(A)(1)(b) (2017).} On December 22, 2016, the Supreme Court of Ohio decided \textit{State v. Aalim}, ruling that these mandatory transfer statutes are unconstitutional because they violate a juvenile’s right to due process as guaranteed by the Ohio Constitution.\footnote{State v. Aalim, 2016-Ohio-8278 (hereinafter, “Aalim I”).} The state then asked the court to reconsider its ruling, and on May 25, 2017, the Supreme Court of Ohio, equipped with two new Justices, overturned its previous decision in \textit{Aalim I} and ruled that the mandatory transfer does not violate due process.\footnote{State v. Aalim, 2017-Ohio-2956 (hereinafter, “Aalim II”).}

In 1967, the Supreme Court of the United States transformed juvenile justice when it held that juveniles are constitutionally entitled to procedural safeguards under the Due Process Clause of the Fourteenth Amendment.\footnote{In re Gault, 387 U.S. 1, 30 (1967).} Recent Supreme Court jurisprudence regarding the juvenile justice system has focused primarily on the Eighth Amendment’s prohibition on cruel and unusual punishment, rather than a Fourteenth Amendment due process analysis.\footnote{Casey McGowan, et al., \textit{Moving Forward from Gault}, 41 Champion 22 (2017).} In this period of Eighth Amendment decisions, the Supreme Court routinely struck down certain punishments as applied to juveniles on the grounds that children are fundamentally different from adults.\footnote{Id.} While the “kids are different” approach permeates Supreme Court decisions regarding the Eighth Amendment, the Court has never applied the theory to due process concerns.

In 1966, the Supreme Court of the United States declared that juveniles cannot be transferred to adult court without a hearing where they are represented by counsel.\footnote{Kent v. United States, 383 U.S. 541 (1966)} Then, the Supreme Court of Ohio in \textit{Aalim I} became the first high court in the nation to declare that the mandatory transfer of juveniles to adult court is a violation of due process.\footnote{McGowan, supra note 5 at 25.} The Court noted that children are “constitutionally different from adults,”\footnote{State v. Aalim, 2016-Ohio-8278, at ¶ 22.} and drawing on its previous decisions,\footnote{In re C.P., 131 Ohio St.3d 513, 2012-Ohio-1446, 967 N.E.2d 729, at ¶ 78. (There, the court held that fundamental fairness requires that the juvenile court judge decide the appropriateness of any adult penalty for juvenile acts.)} held that a due process requirement of “fundamental fairness” requires an amenability hearing to determine a juvenile’s suitability to be tried in juvenile or
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adult court. Of course, this analysis was subsequently overruled in Aalim II. This case was not appealed to the Supreme Court of the United States; however, the time is looming for the nation’s highest court to address whether the “kids are different” approach applies to the Fourteenth Amendment as well as the Eighth Amendment.

Part II of this article examines the standards for due process review, provides an overview of Ohio and federal juvenile justice jurisprudence, and breaks down State v. Aalim. Part III analyzes the decisions reached by the Supreme Court of Ohio and argues that the court did not reach the correct outcome. Part IV concludes by calling for the Supreme Court of the United States to settle the question posed by Aalim.

II. BACKGROUND

This section examines due process and the jurisprudentially recognized notion that “kids are different” in order to provide the context for the Supreme Court of Ohio’s decisions in the Aalim cases.

Due Process

The concept of “due process” has its foundation in the Fourteenth Amendment to the United States Constitution, which States that no State shall “deprive any person of life, liberty, or property, without due process of law.”12 Within the Ohio Constitution, there is a “due course of law clause” which provides: “All courts shall be open, and every person, for an injury done him in his land, goods, person, or reputation, shall have remedy by due course of law, and shall have justice administered without denial or delay.”13 The Supreme Court of Ohio has interpreted the Due Course of Law Clause of the Ohio Constitution as coextensive with the Due Process Clause of the United States Constitution because the language is “virtually the same.”14 The Supreme Court of Ohio, however, has also held that the Ohio Constitution is a document of independent force that may provide greater due process protections to juveniles than the United States Constitution.15

The Supreme Court of the United States has observed that “

13. Ohio Const. art. 1, §16.
15. State v. Bode, 144 Ohio St.3d 155, 2015-Ohio-1519, 41 N.E.3d 1156, at ¶ 23-24 (finding a greater due-process right to counsel for a juvenile under the Ohio Constitution than under the United States Constitution).
process’ has never been, and perhaps can never be, precisely defined.”\footnote{16}

The application of due process differs depending on the importance attached to the interest at stake and the circumstances under which the deprivation of that interest could occur.\footnote{17}

A Due Process analysis can be either procedural or substantive in nature.\footnote{18} The Due Process Clause protects against more than unfair process; it also substantively protects against governmental infringement of liberty interests and fundamental rights.\footnote{19} The Supreme Court of the United States has stated that applying the Due Process Clause can be “an uncertain enterprise which must discover what ‘fundamental fairness’ consists of in a particular situation” by evaluating relevant precedent and considering the several interests that are at stake.\footnote{20}

The requirements of due process are “flexible and call for such procedural protections as the particular situation demands.”\footnote{21} When performing a procedural due process analysis, the leading case,\footnote{22} Mathews v. Eldridge,\footnote{23} controls. Courts must consider three different factors: (1) the private interest to be affected by the government action; (2) the risk that the procedure will result in an erroneous deprivation of that interest, and the value of any additional procedural safeguards; and (3) the government’s interest in the procedure, including the burdens of additional safeguards.\footnote{24}

The Supreme Court of the United States’ “established method of substantive-due-process analysis has two primary features.”\footnote{25} First, the Court has “observed that the Due Process Clause specially protects those fundamental rights and liberties which are, objectively, ‘deeply rooted in this Nation's history and tradition’ and ‘implicit in the concept of ordered liberty,’ such that ‘neither liberty nor justice would exist if they were sacrificed.’”\footnote{26} Second, the Court has “required in substantive-due-process cases a ‘careful description’ of the asserted fundamental liberty interest.”\footnote{27}
Supreme Court Jurisprudence

Beginning in 1966, the Supreme Court of the United States Court has consistently held that constitutional protections apply equally to juveniles and adults in the justice system. In *Kent v. United States*, a case decided pursuant to the “Juvenile Court Act,” the Court held that before a juvenile court can transfer a defendant to adult court, there must be a hearing and a “full investigation” into the case. While the hearing need not conform with all of the requirements of a criminal trial, it must “measure up to the essentials of due process and fair treatment.” Due process requires that at this hearing the juvenile be afforded assistance of counsel and a statement of reasons for the decision.

In 1967, in *In re Gault*, the Court built upon *Kent* and held that juveniles accused of crimes have many of the same due process protections as adults. This includes adequate written notice, a right to counsel, the right to implicate the Fifth Amendment privilege against self-incrimination, and the right to confront a witness. Then, in 1975, the Court held that the double jeopardy clause applies to juveniles as well as adults, so that a juvenile may not be retried as a juvenile or an adult.

“Kids are Different”

In 1989, the Supreme Court of the United States upheld the execution of a juvenile who had been convicted of murder. In 2005, however, the Court reversed that ruling, finding that the execution of a juvenile is a violation on the Eighth Amendment’s prohibition against “cruel and unusual punishment.” The Court noted three important differences between adults and juveniles which demonstrate that the death penalty is not a suitable punishment for juveniles: (1) “a lack of maturity and an underdeveloped sense of responsibility” which result in “impetuous and ill-considered actions and decisions;” (2) “juveniles are more vulnerable or susceptible to negative pressures, including peer
pressure;” 38 and (3) “the character of a juvenile is not as well formed as that of an adult;” 39 and, thus, “the personality traits of juveniles are more transitory, less fixed.” 40 The Court also drew on a national consensus against the juvenile death penalty, noting that thirty States prohibit the death penalty for juveniles. 41 Especially relevant to the Court was that there was a trend, albeit slow, in the number of States that had abolished the death penalty for juveniles since their last ruling in Stanford. 42 In 2010, the Court used this “kids are different” rationale to rule that sentences of life without parole for non-homicidal crimes constitutes cruel and unusual punishment. 43 In 2011, the Court determined that there are different standards for juveniles and adults to determine when an individual is “in custody” with respect to Miranda rights. 44 Then, in 2012, the Court ruled that a statute imposing a mandatory life without parole sentence for murder is a violation of the Eighth Amendment. 45 Notably, the Court affirmed that “children are constitutionally different from adults for purposes of sentencing,” due to “their diminished culpability and greater prospects for reform.” 46 So, while the principle that “children are constitutionally different” is firmly established in the nation’s highest court, the concept has not been applied to the due process clause of the Fourteenth Amendment.

The Juvenile Justice System in Ohio

Juvenile courts were founded on the premise that the state has a role as parens patriae 47 to a delinquent child, and that the goal of the

38. Id.
39. Id. at 570.
40. Id.
41. Id. at 564.
42. Id. at 565 (although only five States had abolished the juvenile death penalty since 1989, the court noted that there was a “consistency of direction of change” showing a national consensus against the practice).
43. Graham v. Florida, 560 U.S. 48 (2010) (the Court noted that advances in psychology and brain science have shown that juveniles are fundamentally different from adults, that their brains are still maturing through adolescence, and that they are more capable of change than adults).
44. J. D. B. v. North Carolina, 564 U.S. 261 (2011). (The court noted that “children are often less mature and responsible than adults;” that they “often lack the experience, perspective, and judgment to recognize and avoid choices that could be detrimental to them;” that “they are more vulnerable or susceptible to outside pressures than adults;” and that “children characteristically lack the capacity to exercise mature judgment and possess only an incomplete ability to understand the world around them.”)
46. Id. at 471.
47. Refers to the policy that the government should intervene and act as the parent of a child who cannot care for itself.
juvenile justice system is to rehabilitate and protect the child. In Ohio, the statutorily-defined purpose of the juvenile justice system includes to “provide for the care, protection, and mental and physical development of children” and “rehabilitate the offender.” The juvenile justice system has always emphasized individualized assessment, addressing the best interests of the child, and helping juveniles reintegrate into society.

The first juvenile court in the United States was established in Cook County, Illinois, in 1899, and the first juvenile court in Ohio was established in Cuyahoga County in 1902. In 1937, the Ohio legislature established juvenile courts throughout the state, vesting “exclusive original jurisdiction” over any delinquent child. The amenability hearing before transfer to adult court was added to the juvenile justice system in 1969.

**Mandatory Transfer Laws**

In 1996, in response to rising juvenile crime rates and a growing national fear of “superpredators,” the Ohio legislature enacted a law requiring the mandatory transfer of juveniles accused of committing certain offenses. This created “a narrow exception to the general rule that juvenile courts have exclusive subject matter jurisdiction over any case involving a child.” The statute sets forth which juvenile cases are subject to mandatory transfer and provides that certain children are eligible for mandatory transfer to adult court if they are sixteen or older and commit a category one or category two offense, or the child was

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52. Id. at ¶ 63 (O’Connor, C.J., dissenting).
53. Id. at ¶ 17.
54. Aalim, 2017-Ohio-2956, at ¶ 2; State v. Hanning, 89 Ohio St.3d 86, 89, 2000-Ohio-436, 728 N.E.2d 1059 (noting that the mandatory-transfer statute is part of Ohio’s response to rising juvenile crime).
55. Hillary Clinton, Campaign Speech Advocating for President Clinton’s Bill on Criminal Justice Reform (Jan. 28, 1996).
57. Ohio Rev. Code Ann. § 2152.01 (2017). (The stated purpose of the statute is to “provide for the care, protection, and mental and physical development of children subject to this chapter, protect the public interest and safety, hold the offender accountable for the offender’s actions, restore the victim, and rehabilitate the offender”).
58. Ohio Rev. Code Ann. § 2152.02(BB) (“Category one offenses” include murder, aggravated murder, attempted murder, or attempted aggravated murder).
59. Ohio Rev. Code Ann. § 2152.02(CC) (“Category two offenses” include voluntary
fourteen or fifteen and had previously committed a category one or category two offense.\footnote{60}{Ohio Rev. Code Ann. § 2152.10.}

In addition to the mandatory transfer statute, a separate statute calls for discretionary transfer, requiring the juvenile court to hold an amenability hearing before transferring a juvenile to adult court.\footnote{61}{Ohio Rev. Code Ann. § 2152.12.} The purpose of this hearing is to determine whether a juvenile is amenable to the juvenile justice system’s goal of rehabilitation.\footnote{62}{Id.} The Ohio legislature, however, deemed that an amenability hearing is not available for a juvenile who qualifies for mandatory transfer.\footnote{63}{Id.} In the case of mandatory transfer, a juvenile judge oversees a probable cause hearing where the judge must decide whether the juvenile meets the statutory age requirement, and that there is probable cause to believe that the juvenile committed the crime in question.\footnote{64}{State v. Aalim, 2016-Ohio-8278, at ¶ 3.} If the judge finds that these criteria are satisfied, the juvenile is automatically transferred to adult court with no determination of whether the individual is amenable to rehabilitation.\footnote{65}{Id.}

Twenty-five other States and the federal government have mandatory transfer statutes similar to Ohio’s.\footnote{66}{Id.} Additionally, four States and the District of Columbia provide for a rebuttable presumption in favor of transfer.\footnote{67}{State’s Reply Brief, March 1, 2016, 8. (Since the Brief has been filed, the state of California has eliminated mandatory transfer laws through Proposition 57). See Casey McGowan, et al., Moving Forward from Gault, 41 Champion 22, 25 (2017).} Twenty States have elected not to have mandatory transfer laws, proving only for the discretionary transfer of juveniles to adult court.\footnote{68}{Id.} The states that do not provide for mandatory transfer do so because their legislatures or citizenry have elected not to, not because a higher court has ruled those procedures to be unconstitutional.\footnote{69}{Id. at 6.}

\textit{Supreme Court of Ohio Jurisprudence}

The Supreme Court of Ohio has held that the Ohio Constitution is a “document of independent force,”\footnote{70}{Arnold v. Cleveland, 616 N.E.2d 163 (1993).} and that while the state cannot provide less protection than the United States Constitution requires, they

\begin{itemize}
\item manslaughter, kidnapping, rape, aggravated arson, aggravated robbery, aggravated burglary, involuntary manslaughter where the underlying predicate offense is a felony, and felonious sexual penetration.
\end{itemize}
are free to establish greater protections to individuals and groups. Additionally, the Supreme Court of Ohio has ruled that the Ohio Constitution affords greater protection against double-jeopardy for juveniles than the United States Constitution.

The Supreme Court of Ohio, separately from the Supreme Court of the United States, has recognized that juvenile delinquency proceedings require the same procedural safeguards as adult criminal proceedings. This includes the right to counsel, the right against self-incrimination, and the right to double-jeopardy protections. The standard for due process challenges in juvenile proceedings recognized by the state of Ohio is “fundamental fairness.” The Supreme Court of Ohio has said that the meaning of “fundamental fairness” is as “opaque as its importance is lofty.”

Thus, a “court’s task is to ascertain what process is due while being true to the core concept of due process in a juvenile case - to ensure orderliness and fairness.”

Case Background

Matthew Aalim, who was sixteen and had no criminal record, was charged with what would be considered aggravated robbery if committed by an adult. The State filed a motion to transfer Aalim to adult court pursuant to the mandatory transfer laws. At the required hearing, the juvenile court found that Aalim was sixteen at the time of the alleged crime, and that there was probable cause to believe that Aalim committed the crime. Thus, the juvenile court automatically relinquished jurisdiction and Aalim was transferred to adult court.

Aalim argued that the mandatory transfer provisions violated due process and equal protection, as well as the prohibition against cruel and unusual punishment as guaranteed by the United States Constitution and

71. Id.
80. State v. Aalim, 2016-Ohio-8278, at ¶ 2. (Aalim was actually charged with two counts of aggravated robbery with a firearm, because there were two victims.)
the Ohio Constitution. The court disagreed, and Aalim entered a no-contest plea and was sentenced to concurrent prison terms of four years for each count of aggravated robbery. The Court of Appeals also rejected Aalim’s constitutional challenges, upholding mandatory transfer to adult court. Aalim appealed the case to the Supreme Court of Ohio, claiming that the mandatory transfer provisions violate due process and equal protection.

Aalim I

On December 22, 2016, the Supreme Court of Ohio issued its ruling in Aalim I, holding in a 4-3 vote that the mandatory transfer of juveniles to the general division of the common pleas court is a violation of due process under the Ohio Constitution. The court ruled that, before a juvenile can be transferred to adult court, a juvenile judge must hold an individualized amenability hearing to determine whether the juvenile can meet the juvenile justice system goals of rehabilitation and reintegration. The court’s ruling did not rely on substantive or procedural due process, but instead used the “fundamental fairness” standard for due process claims in Ohio juvenile proceedings.

Matthew Aalim presented facial due process and equal protection challenges to the mandatory transfer provisions, arguing, inter alia, that “fundamental fairness requires that every juvenile demonstrate a capacity to change.” The court agreed with Aalim’s position that juveniles have a “special status,” and as such are “entitled to be treated as a juvenile” and “should receive an amenability hearing before any transfer” to adult court. The court stated that the amenability hearing is necessary because “fundamental fairness requires that juveniles have the opportunity to demonstrate a capacity to change.”

The court, citing Ohio and Supreme Court of the United States precedent acknowledging the differences between children and adults
for Eighth Amendment purposes, explicitly held that it “is a logical step for us to hold that all children, regardless of age, must have individual consideration at amenability hearings before being transferred from the protections of juvenile court to adult court upon a finding of probable cause for certain offenses.” The court reasoned that juvenile procedures must account for the differences between adults and children, and that mandatory transfer to adult court is a violation of fundamental fairness, which requires additional procedural safeguards for juveniles.

The court also held that Ohio’s discretionary transfer provisions were not a violation of due process because the procedures satisfy fundamental fairness. The procedures required before a discretionary transfer include an “investigation into the child's social history, education, family situation, and any other factor bearing on whether the child is amenable to juvenile rehabilitation, including a mental examination of the child.” The court ruled that discretionary transfer provisions guarantee that children are recognized as “constitutionally different from adults,” and that in the process, discretionary transfer ensures that juveniles who are not amenable to rehabilitation are transferred to adult court. Thus, the court struck down Ohio’s mandatory transfer laws as a violation of due process under the Ohio Constitution, and upheld the constitutionality of discretionary transfer.

Three Justices dissented, accusing the majority of over-generalizing the extra protections that the Ohio Constitution guarantees, and changing the law without adequate analysis of what due process requires. Justice French accused the majority of striking down mandatory transfer without any compelling reason to do so besides “mere permissibility.” Additionally, Justice Kennedy argued that the court did not meet the required standard for finding a statute unconstitutional: proof beyond a reasonable doubt.


97. Id. at ¶ 25.
98. Id. at ¶ 28.
99. Id. at ¶ 27.
100. Id. at ¶ 28.
101. Id. at ¶ 31.
102. Id. at ¶ 35 (Kennedy, J., concurring in part and dissenting in part).
103. Id. at ¶ 47 (French, J., dissenting).
104. Id. at ¶ 36 (Kennedy, J., dissenting). (To succeed on a facial challenge, there must be no set
Justice Kennedy also reasoned that “fundamental fairness” is not an appropriate standard to be applied to mandatory transfer provisions because the principle is less concrete than the standards in the court’s previous decisions regarding juveniles in the Sixth and Eighth Amendment contexts. Justice Kennedy, however, argued that the “fundamental fairness” is satisfied by the statutorily required probable cause hearing. In Ohio, “fundamental fairness” requires that juvenile proceedings require such “basic constitutional protections as notice of the charges and the rights to counsel, confrontation, and cross-examination.” According to Justice Kennedy, this standard is satisfied in the mandatory transfer statute, and furthermore, the decision to provide a juvenile with an amenability hearing is the province of the General Assembly. Thus, Justice Kennedy accused the majority of overstepping its bounds to overrule a process that the legislature has the exclusive authority to create.

Justice French, joined in dissent by Justice O’Donnell, also examined the due process ramifications in greater detail than the majority’s “fundamental fairness” review, performing both procedural and substantive due process analyses, and finding that the mandatory transfer provisions offend neither. First, Justice French wrote that substantive due process is not violated because the right to a juvenile court hearing or an amenability hearing is not a fundamental right “deeply rooted in the nation’s history” because the juvenile court system was not created until 1899, and was not adopted in Ohio until 1937. Justice French noted that Aalim did not explicitly argue that mandatory transfer violates procedural due process. However, Justice French still wrote that procedural due process was not violated because the mandatory transfer provisions do not deprive a juvenile of a life, liberty, or property interest. Further, even if mandatory transfer deprived a juvenile of liberty by interest in retaining juvenile status, Justice French argued that the statutory provisions of a “probable cause hearing” provides enough due process before the transfer is completed.

105. Id. at ¶ 40.
106. Id. at ¶ 41.
107. Id.
108. Id.
109. Id.
110. Id. at ¶ 51 (French, J., dissenting).
111. Id. at ¶ 54.
112. Id. at ¶ 62.
113. Id. at ¶ 57.
114. Id. at ¶ 62.

of circumstances under which the law would be valid; it must be unconstitutional in all applications. Justice Kennedy said that the “majority's analysis does not meet this high standard”).
At the end of December 2016, Justices Lanzinger and Pfeifer, both in the majority in *Aalim I*, retired.\(^{115}\) Their seats on the bench were replaced by Justice DeWine and Justice Fischer.\(^{116}\) On January 3, 2017, the State filed a motion for reconsideration of the decision.\(^{117}\) The Supreme Court of Ohio can grant motions for reconsideration to “correct decisions which, upon reflection, are deemed to have been made in error.”\(^{118}\) On May 25, 2017, the court granted reconsideration and reversed the decision in *Aalim I*.\(^{119}\)

In its motion for reconsideration, the State argued that the majority in *Aalim I* failed to consider the provision of the Ohio Constitution that gives the General Assembly the exclusive authority to determine the jurisdiction of the courts of common pleas.\(^{120}\) The court agreed and granted the motion on the basis that the ruling in *Aalim I* undermined the legislature’s exclusive authority to define the jurisdiction of the courts.\(^{121}\)

The new five-member majority held that mandatory transfer does not violate due process under the Ohio Constitution or the United States Constitution.\(^{122}\) Writing for the majority, Justice Kennedy dismissed Aalim’s argument on substantive due process grounds “in short order,”\(^{123}\) holding that “[b]ecause Ohio’s Due Course of Law Clause and the federal Due Process Clause both predate the creation of juvenile courts in Ohio and throughout the United States, these provisions cannot have created a substantive right to a specific juvenile-court proceeding.”\(^{124}\) The court wrote that an amenability hearing is not “deeply rooted in our Nation’s history and tradition” or “implicit in the concept of ordered liberty.”\(^{125}\) Further, the court refused to define an

\(^{115}\) Randy Ludlow, *Paul E. Pfeifer, Retiring Ohio Supreme Court Justice has left mark*, Columbus Dispatch (December 26, 2016, 12:01 A.M.), http://www.dispatch.com/content/stories/local/2016/12/26/paul-e-pfeifer-retiring-justice-has-left-mark.html.

\(^{116}\) *Id.*


\(^{120}\) *Id.* at ¶ 1.

\(^{121}\) *Id.*

\(^{122}\) *Id.* at ¶ 4.

\(^{123}\) *Id.* at ¶ 17.

\(^{124}\) *Id.*

\(^{125}\) *Id.*
amenability hearing as a fundamental right based on its analysis of Supreme Court of the United States rulings.\textsuperscript{126} The majority found that the Supreme Court of the United States has been reluctant to expand substantive due process and create new fundamental rights.\textsuperscript{127} Therefore, the Supreme Court of Ohio refused to find a fundamental right in an amenability hearing.\textsuperscript{128}

The majority also found that mandatory transfer satisfies the “fundamental fairness” requirements of a hearing with effective assistance of counsel and a statement of reasons for the transfer.\textsuperscript{129} The court also distinguished the ruling in \textit{Kent} requiring a “full investigation” before transfer to adult court, because that ruling was limited strictly to the Juvenile Court Act, and therefore did not apply to Ohio’s mandatory transfer laws.\textsuperscript{130} The court stated that fundamental fairness was satisfied because, before Aalim was transferred to adult court, a juvenile judge conducted a hearing wherein it was found that Aalim was sixteen, and that there was probable cause to believe that Aalim committed the crime.\textsuperscript{131}

Newcomer Justice DeWine wrote a separate concurrence, emphasizing why reconsideration was correct, and setting forth the proper analysis for substantive and procedural due process.\textsuperscript{132} Justice DeWine wrote that “procedural due process assesses the adequacy of procedures employed,” and “substantive due process reviews legislative enactments.”\textsuperscript{133} Justice DeWine concluded that because the mandatory transfer provisions are a legislative enactment of general applicability, the only possible claim that could be made is one of substantive due process.\textsuperscript{134} Justice DeWine wrote that the court improperly transformed the “fundamental fairness” standard from procedural into substantive, and therefore it should not apply to this case.\textsuperscript{135} Justice DeWine also criticized the theory of substantive due process, as “perhaps the most . . . controversial part of our federal constitutional tradition.”\textsuperscript{136} Due to this, Justice DeWine expressed a reluctance to expand the concept to include a fundamental right to an amenability hearing.\textsuperscript{137}

\begin{itemize}
  \item[126.] Id.
  \item[127.] Id. at ¶ 17, 18.
  \item[128.] Id. at ¶ 17.
  \item[129.] Id. at ¶ 25.
  \item[130.] Id. at ¶ 26.
  \item[131.] Id. at ¶ 27.
  \item[132.] Id. at ¶ 39 - 40 (DeWine, J., concurring).
  \item[133.] Id. at ¶ 41.
  \item[134.] Id. at ¶ 42.
  \item[135.] Id. at ¶ 42-43.
  \item[136.] Id. at ¶ 47.
  \item[137.] Id. at ¶ 48.
\end{itemize}
that it may be a good idea to end mandatory transfer, Justice DeWine believes that choice belongs exclusively to the state legislature.\footnote{138}{Id. at ¶ 50 (O’Connor, C.J., dissenting).}

Chief Justice O’Connor authored the dissent, providing a passionate defense of the ruling in \textit{Aalim I}.\footnote{139}{Id. at State v. Aalim, 2017-Ohio-2956, ¶ 52.} Chief Justice O’Connor reiterated the objectives of the juvenile justice system,\footnote{140}{Id. at ¶ 64 (quoting In re T.R., 556 N.E.2d 439, (1990)).} emphasized Supreme Court of the United States rulings providing heightened protections for juveniles,\footnote{141}{Id. at ¶ 65.} and outlined the Court’s responsibility to strike down legislation that infringes on constitutional rights.\footnote{142}{Id. at ¶ 66, 67.} Chief Justice O’Connor wrote that the Supreme Court of Ohio has the duty to be a constitutional check on the General Assembly to ensure that juveniles due process rights are protected.\footnote{143}{Id. at ¶ 74.}

The dissent’s legal analysis begins by addressing why the issue of mandatory transfer is so important for a juvenile by quoting the State’s counsel at oral argument: “But the crux of the issue is punishment. That’s what this is all about. It’s not really about process, it’s not about procedure. It’s about what do we do to punish these juveniles who are transferred over to adult court.”\footnote{144}{Id. at ¶ 75.} Based on this reasoning, the Chief Justice concludes that Supreme Court of the United States rulings in \textit{J.D.B.}, \textit{Miller}, and \textit{Roper}, limiting juvenile punishments, are instructive to the issue of an amenability hearing.\footnote{145}{Id. at ¶ 79.}

Chief Justice O’Connor’s dissent does not address mandatory transfer in terms of substantive due process, instead focusing on procedural due process and “fundamental fairness.”\footnote{146}{Id. at ¶ 78.} The Chief Justice cites precedent recognizing that States can create liberty interests that are protected by the due process clause,\footnote{147}{Id. at ¶ 52.} and argues that juveniles have a substantial liberty interest in retaining their status as a juvenile.\footnote{148}{Id. at ¶ 79.} Therefore, before depriving a juvenile of that liberty interest, the court must abide by the balancing test set forth in \textit{Mathews}, requiring a consideration of the liberty interest and the risk of an erroneous deprivation of that interest, combined with the value of additional safeguards and any interest the government has in the matter.\footnote{149}{Mathews v. Eldridge, 424 U.S. 319, 335 (1976).} To the dissent, juveniles have a substantial liberty interest in maintaining their juvenile status because a
conviction in adult court is more severe and carries more serious consequences than a conviction in juvenile court, including public awareness of their crimes.150 Chief Justice O’Connor also wrote that the erroneous deprivation of a juvenile’s liberty interest is substantial because, under the current mandatory transfer procedures, the juvenile judge is not allowed to consider whether an offender is amenable to the goals of the juvenile justice system, and “there is significant risk of turning a delinquent capable of rehabilitation into a lifelong criminal.”151 Finally, the dissent argues that there is minimal impact on the government’s interest and burden of requiring an amenability hearing because the difference in resources between an amenability hearing and the required probable cause hearing are negligible.152 So, this minimal burden does not outweigh the juvenile’s interest in retaining juvenile status.153

Chief Justice O’Connor also provided a separate “fundamental fairness” dissent, arguing that mandatory transfer does not comport with the “full investigation” standard of Kent.154 Further, the Chief Justice criticized the majority’s reasoning that Kent only requires a hearing where the juvenile is represented by counsel and a statement of reasons for the transfer.155 Chief Justice O’Connor argues that Kent court considered factors such as a juvenile’s criminal history and “sophistication and maturity.”156 Based on the facts of Kent, O’Connor argues that Ohio’s mandatory transfer laws do not provide the required process or “ceremony,” and therefore do not comply with fundamental fairness.157 In Chief Justice O’Connor’s words: “a hearing in which there is no consideration of a juvenile’s amenability to rehabilitation and treatment in the juvenile-justice system is not a meaningful opportunity to be heard.”158 The Chief Justice concluded the dissent with a plea for the Supreme Court of the United States, which has been silent on the issue of juvenile transfer to adult court since 1966, to address due process in the juvenile justice context.159

Justice O’Neill wrote a separate dissent, beginning by voicing disagreement to reconsider the case, as there is “nothing new to reconsider here; the only thing that has changed is the makeup of this

151. Id. at ¶89.
152. Id. at ¶90-91.
153. Id. at ¶93.
154. Id. at ¶95.
155. Id. at ¶98.
156. Id. at ¶99.
157. Id. at ¶104.
158. Id. at ¶108.
159. Id. at ¶106.
court.” Justice O’Neill also wrote that Aalim I was decided based on procedural due process and substantive due process. O’Neill argued that society has developed a new fundamental right: to treat children as childlike, and that the court has the authority to protect that right as a recognition of a societal conscience.

III. DISCUSSION

This section will analyze the rulings in the Aalim cases and provide a glimpse of the future of mandatory transfer laws. This article will not address the appropriateness of the Supreme Court of Ohio’s granting of the motion for reconsideration. The paramount, yet still unresolved issue in the Aalim cases, is the definition and applicability of fundamental fairness in juvenile proceedings; neither the holding in Aalim I or Aalim II provided any clarity on the subject.

The Justices in the Aalim cases incorrectly defined and applied substantive due process and procedural due process.

A constitutional due process analysis is not a matter easily undertaken. When “fundamental fairness” precedent is added to the equation, the task muddies the waters even more. While there may, in theory, be a “clear demarcation” between the concepts of substantive and procedural due process, the Justices’ almost laughable inability to agree on the due process inquiry, let alone the constitutionality of the matter, suggests otherwise.

To recap, Aalim I was decided on the standard of fundamental fairness. Justice Kennedy’s concurrence in Aalim I blends fundamental fairness with procedural due process, and the dissent stems from substantive and procedural due process analyses. Aalim II was decided on substantive due process and fundamental fairness. Justice DeWine wrote a separate concurrence, joined by the author of the majority opinion, in order to define substantive and procedural due process, and differentiate between procedural fundamental fairness and substantive fundamental fairness. Justice DeWine believed that Aalim I was decided based on a “substantive due process standard of fundamental fairness.” Justice O'Connor based the dissent on separate analyses of

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161. Id. at ¶ 115.
162. Id. at ¶ 116.
163. Id.
165. Id. at ¶ 47.
procedural due process and fundamental fairness and mentioned in a footnote that Aalim I was decided on procedural due process, a term that was never once used in that majority decision. Suffice it to say, the Supreme Court of Ohio has not provided us with a model of clarity.

The court does not provide any lucidity on the similarities and differences between procedural and substantive due process and, due to this lack of precision, the Justices cannot even agree on the proper nature of analysis. Each analysis is appropriate in a separate context; however, the two concepts can overlap. Essentially, substantive and procedural due process can be distinguished based on the remedy sought. Substantive due process is invoked when a plaintiff is challenging a government action as violating a constitutional right. Procedural due process is invoked when a government action is being challenged as having a lack of adequate safeguards before depriving an individual of a protected interest. The inquiry, however, is not as simple as it seems. Not every deprivation of a protected interest gives rise to a procedural due process claim.

A person may not bring a procedural due process claim when seeking benefits. However, a procedural due process claim is available when a benefit is terminated without adequate safeguards, because an individual has an interest in the continued receipt of benefits. For example, if the government would pass a law prohibiting abortion, a challenge to the law would not be based on the procedures of the government, but on the substantive constitutionality of the law. But, if the government were to cut welfare benefits for all recipients, procedural due process requires that there be a fair process for this determination. Also, once an interest is statutorily conferred, a legislature may not deprive an individual of that interest without appropriate procedure. Procedural protections are required when there is a deprivation of a constitutionally protected interest, and an issue about how the law applies to a specific individual.

There is no disagreement among any Justices in the Aalim cases that a juvenile has a protected interest in retaining juvenile status for criminal proceedings.

In Aalim II, Justice DeWine’s concurrence suggests that Aalim could not possibly have a procedural due process claim because “a challenge to a generalized legislative determination—for example, that all juveniles of a certain age who are charged with certain qualifying crimes must be tried in adult court—is made under the substantive component of the Due Process Clause.”166 This is an overbroad generalization. A statute can be challenged based on procedural due process, but only when the challenge is to the fairness of the process being followed, and

166. Id. at ¶ 42.
if the potential impact on an individual could result in the loss of a benefit.\textsuperscript{167}

Indeed, *Kent* was decided pursuant to the Juvenile Court Act, a statute of general applicability. Thus, if the concurrence were correct, the claim available to Kent would be one of substantive due process. This must mean that the outcome of *Kent*; that “there is no place in the U.S. system of law for waiving juvenile jurisdiction without ceremony—without hearing, without effective assistance of counsel, without a statement of reasons,”\textsuperscript{168} must be a substantive standard. The Supreme Court of the United States disagrees. If a mandatory transfer challenge can be brought as a procedural due process claim, the juvenile must show that the challenge is not to apply for the benefit of the juvenile justice system, but to retain the statutorily created entitlement of the juvenile justice system.

*Fundamental fairness is not an independent analysis, but a requirement of due process, and must be applied in either a procedural or substantive due process review.*

Neither majority can come to an agreement on the application of the standard of fundamental fairness, nor its relation to procedural or substantive due process. The question remains: is fundamental fairness a procedural standard, substantive, or neither? Or is it a due process standard independent of the procedural/substantive analysis?

The notion of fundamental fairness in due process cases is not a new one in Supreme Court of the United States jurisprudence, dating back at least 1942.\textsuperscript{169} It was first introduced in the juvenile context in Justice Harlan’s 1967 concurring opinion in *In re Gault*.\textsuperscript{170} The Supreme Court of Ohio has adopted the view that “[a]pplying the Due Process Clause is therefore an uncertain enterprise which must discover what ‘fundamental fairness’ consists of in a particular situation by first considering any relevant precedents and then by assessing the several relevant factors.”

\begin{footnotesize}
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\item[167.] Erwin Chemerinsky, *Constitutional Law: Principles and Policies*, 604, 2015; see Am. Mfrs. Mut. Ins. Co. v. Sullivan, 526 U.S. 40, 60 (1999) (“In both cases [Goldberg v. Kelly and Mathews v. Eldredge], an individual’s entitlement to benefits had been established, and the question presented was whether predeprivation notice and a hearing were required before the individual’s interest in continued payment of benefits could be terminated”).
\item[168.] Kent v. United States, 383 U.S. 541, 542 (1966)
\item[169.] Betts v. Brady, 316 U.S. 455. (“Asserted denial [of due process] is to be tested by an appraisal of the totality of facts in a given case. That which may, in one setting, constitute a denial of fundamental fairness, shocking to the universal sense of justice, may, in other circumstances, and in the light of other considerations, fall short of such denial”).
\item[170.] In re Gault, 387 U.S. 1, 72 (1967) (“No more restrictions should be imposed than are imperative to assure the proceedings’ fundamental fairness”).
\end{itemize}
\end{footnotesize}
interests that are at stake.” Thus, the court must determine what constitutes fundamental fairness by “being true to the core concept of due process in a juvenile case.”

Although it may seem like a strictly procedural standard, the concept has been applied in substantive due process since at least 1963, when the Supreme Court of the United States decided *Gideon v. Wainwright*. There, the Court ruled that fundamental fairness requires a substantive due process right for indigent defendants to have counsel appointed to represent them. The fundamental fairness standard was commonly used by the Warren Court to review constitutional criminal procedure claims. However, the Court moved away from that standard and began to use the doctrine of selective incorporation. Fundamental fairness is not dead, however, as the Supreme Court of the United States sometimes uses the standard to address pre-trial and post-trial procedures.

The majority in *Aalim I* incorrectly and impermissibly used a strict fundamental fairness standard in reaching its decision. The concept is not a separate standard, but can sometimes be a requirement of due process which must be applied in either the procedural or substantive due process context, not as a standalone analysis. To separate the standard as an independent inquiry would create a third standard for due process analysis and only confuse courts and legal scholars more than they already are.

So, Aalim properly brought two separate arguments pertinent to this analysis: (1) that fundamental fairness grounded in procedural due process requires that a juvenile demonstrate the capacity to change; and (2) that juveniles have a substantive due process right to retain their

172. Id. at ¶ 23.
174. Earl Warren was the Chief Justice of the Supreme Court of the United States from 1953-1969.
176. Id. at 113. (Selective Incorporation is a theory that theory that holds that the Due Process Clause of the Fourteenth Amendment incorporates to the States certain guarantees of the Bill of Rights).
177. Id. at 115.
178. In re C.S., 2007-Ohio-4919, 115 Ohio St. 3d 267, 277, 874 N.E.2d 1177, 1187. (“Due process is not a technical conception with a fixed content unrelated to time, place and circumstances. Rather, the phrase expresses the requirement of ‘fundamental fairness,’ a requirement whose meaning can be as opaque as its importance is lofty.”)
179. While Aalim’s fundamental fairness argument was not explicitly brought under procedural due process, as discussed above, fundamental fairness is not an independent standard of due process review. Because Aalim made a separate substantive due process argument, the fundamental fairness argument should be applied to procedural due process.
status as a child.\textsuperscript{180}

Aalim’s challenge to mandatory transfer was properly brought as a violation of substantive due process; however, the right to an amenability hearing has not been recognized as a fundamental right guaranteed by the Constitution, and therefore the substantive claim was appropriately dismissed.

No court has ever held that juveniles have a fundamental right, guaranteed by the Constitution, to be treated as juveniles in the criminal justice context. Fundamental rights include those which are “objectively, deeply rooted in this Nation’s history and tradition . . . and ‘implicit in the concept of ordered liberty,’ such that ‘neither liberty nor justice would exist if they were sacrificed.’”\textsuperscript{181} When a fundamental right is inhibited by legislation, the legislation must be narrowly tailored to achieve a compelling governmental interest; a very high standard.

The majority in \textit{Aalim II} unpersuasively, yet correctly, dismissed the notion that juveniles have a fundamental right to an amenability hearing. The majority reasoned that because both Ohio’s Due Course of Law Clause (1851) and the Fourteenth Amendment (1868) predate the creation of the juvenile court system in 1899, and the addition of an amenability hearing to the juvenile court system in 1969, that there cannot be a substantive right to the specific juvenile court proceeding.\textsuperscript{182}

This hollow analysis, while commonly used, directly contradicts established Supreme Court of the United States precedent finding fundamental rights that similarly do not predate the Due Process Clause. Examples include the right to receive an abortion,\textsuperscript{183} the right to use contraceptives,\textsuperscript{184} the right of two consenting adults of the same sex to engage in sexual conduct,\textsuperscript{185} and the right to personal choice regarding marriage.\textsuperscript{186}

Substantive due process, rightly so, has been criticized as an avenue for ambitious judges to instill their own personal beliefs into the law. But, simply disagreeing with the notion of substantive due process does not allow the majority to intentionally misinterpret established precedent recognizing that “[n]either the Bill of Rights nor the specific practices of States at the time of the adoption of the Fourteenth Amendment marks the outer limits of the substantive sphere of liberty which the Fourteenth

\textsuperscript{180} Merit Brief of Appellant Matthew Aalim, January 11, 2016, i, ii.
\textsuperscript{181} State v. Aalim, 2017-Ohio-2956 at ¶ 16.
\textsuperscript{182} Id. at ¶ 17.
\textsuperscript{183} Roe v. Wade, 410 U.S. 113 (1973).
\textsuperscript{184} Griswold v. Connecticut, 381 U.S. 479 (1965).
\textsuperscript{185} Lawrence v. Texas, 539 U.S. 558 (2003).
\textsuperscript{186} Obergefell v. Hodges, 135 S. Ct. 2584 (2015).
Amendment protects."\textsuperscript{187}

Moreover, the majority’s analysis also fails common sense. Its closed-minded, literalistic approach to substantive due process claims, which, if adopted, would mean that fundamental rights not recognized in 1868 are unprotected by the Constitution. Surely this cannot be right. Consider the fact that it was not until 1963 that the Court recognized a fundamental due process right to the assistance of counsel in a state court proceeding.\textsuperscript{188} It seems the \textit{Aalim II} majority would have no quarrel with the termination of the public defender’s office. In a more relevant hypothetical, imagine that, rather than instituting the mandatory transfer of certain juveniles to adult court, the Ohio General Assembly decided to enact legislature that abolished the entire juvenile justice system. Then could the majority comfortably reason that there is no substantive due process right to have a juvenile justice system? That a system established for more than a century and existing in every state is not “deeply rooted in our Nation’s history?” Common sense indicates otherwise.

Regardless of the flaws inherent in its reasoning, the \textit{Aalim II} majority’s ruling is ultimately correct, because neither the Supreme Court of the United States, nor any other court, which for good reasons are reluctant to “discover” new fundamental rights, has recognized a fundamental right for a juvenile to receive a specific criminal procedure. Certainly, \textit{Aalim} was decided under the Due Course of Law Clause of the Ohio Constitution, which is a document of independent force. And, the U.S. Constitution provides the floor, not the ceiling, for individual States to abide by. Nevertheless, considering the inability of the Justices to agree on the standard of analysis, and the fact that the majority in \textit{Aalim I} failed to even consider the issue in terms of substantive due process, at this time there is not a compelling reason for the Supreme Court of Ohio to recognize a right to an amenability hearing as a fundamental right.\textsuperscript{189}


\textsuperscript{188} Gideon v. Wainwright, 372 U.S. 335 (1963).

\textsuperscript{189} For legislation not affecting a fundamental right, the government need only prove a rational basis for the statute. Therefore, in order for mandatory transfer to pass substantive due process review, it must be rationally related to a legitimate government interest; a very low standard where the courts are extremely deferential to the legislature. Because state legislatures unquestionably have a legitimate interest in preventing and punishing crime, and because there is at least a rational basis for sending juveniles to adult court in relation to that interest, mandatory transfer statutes pass substantive due process muster. For this reason, the majority in \textit{Aalim I} wisely did not perform a substantive due process analysis.
The ruling in Kent supports the notion that Aalim appropriately challenged mandatory transfer on the basis of procedural due process.

The appropriate standard for due process in juvenile proceedings, as set out by the Supreme Court of the United States in 1967, and adopted by the Supreme Court of Ohio,\(^\text{190}\) is fundamental fairness,\(^\text{191}\) which should be applied in either a procedural or substantive due process analysis. The only time the Supreme Court of the United States has ever addressed mandatory transfer of juveniles to adult court was *Kent* in 1966, decided on procedural due process grounds.\(^\text{192}\) There, the Supreme Court of the United States held that procedural due process requires that a juvenile court cannot waive its jurisdiction over a child without “ceremony--without hearing, without effective assistance of counsel, without a statement of reasons,”\(^\text{193}\) because transfer is such a “critically important” action determining the rights of the individual. As the court noted: “[i]t would be extraordinary if society’s special concern for children, as reflected in the [Act], permitted this procedure.”\(^\text{194}\) Thus, the intent of the act is of special relevance when assessing the procedures in place.

The appropriateness of Aalim’s fundamental fairness procedural due process claim is contingent on the court deciding that receiving an amenability hearing is not an application for a benefit, but a petition for the retention of a benefit. As discussed above, a procedural due process challenge can only exist when the petitioner is attempting to retain a statutorily-conferred benefit and is not applicable when the petitioner is applying to receive an additional benefit. The ruling in *Kent*, grounded in procedural due process, supports the theory that juveniles may challenge transfer to adult court on the basis that they are being deprived of the benefit of the juvenile justice system: “[t]he net, therefore, is that petitioner -- then a boy of 16 -- was by statute entitled to certain procedures and benefits as a consequence of his statutory right to the ‘exclusive’ jurisdiction of the Juvenile Court.”\(^\text{195}\) The court ordered that these procedures must include “a hearing, including access by his counsel to the social records and probation or similar reports which presumably are considered by the court, and to a statement of reasons for the Juvenile Court’s decision”.\(^\text{196}\) The court “believe[d] that this

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193. Id.
194. Id. at 554.
195. Id. at 557. (Emphasis added).
196. Id.
result is required by the statute read in the context of constitutional principles relating to due process.**197

*The court in Aalim I acted within its authority when it ruled that mandatory transfer without an amenability hearing was a violation of due process.*

As discussed above, Aalim appropriately challenged mandatory transfer as a violation of procedural due process because Aalim was being deprived of the benefit of the juvenile justice system. The Supreme Court of Ohio acted within its purview when it declared that due process under the Ohio Constitution requires an amenability hearing before transfer to adult court. The outcome may be different if the court decided the case under the due process clause of the United States Constitution, as the Supreme Court of the United States has never made a similar decision on mandatory transfer.

As the court stated, the Ohio Constitution is a document of independent force; the Ohio Due Course of Law Clause is given the same effect as the federal due process clause; and the federal constitution provides the floor, not the ceiling, for individual States to abide by. Further, the Supreme Court of Ohio has ruled that the Ohio Constitution requires greater protection than the U.S. Constitution.198 The Supreme Court of Ohio has also recognized that “[s]ince its origin, the juvenile justice system has emphasized individual assessment, the best interest of the child, treatment, and rehabilitation, with a goal of reintegrating juveniles back into society.”199

The Supreme Court of Ohio in *Aalim I* conducted an analysis very similar to that of the Supreme Court of the United States in *Kent*. The Supreme Court of the United States declared that, in light of the purpose of the Juvenile Court Act and, because juveniles are entitled to the benefit of the juvenile court, due process required additional procedural safeguards before transferring a child to adult court. The Supreme Court of Ohio similarly held that, because juveniles have a special status, and in conjunction with the purpose to “provide for the care, protection, and mental and physical development of children . . . and rehabilitate the

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197. *Id.*
198. Simpkins v. Grace Brethren Church of Delaware, 2016-Ohio-8118, 149 Ohio St. 3d 307, 75 N.E.3d 122 at ¶ 61 (Lanzinger, J., concurring in judgment only).
199. State v. Hanning, 728 N.E.2d 1059 (2000); Previously, the Supreme Court of Ohio has ruled that automatic lifelong registration requirements for juvenile sex offenders is a violation of due process. *See* In re C.P., 131 Ohio St.3d 513, 2012-Ohio-1446, 967 N.E.2d 729 at ¶ 71. (The court reasoned that because the goals of the juvenile justice system are rehabilitation and reintegration, fundamental fairness requires that a juvenile judge exercises discretion when deciding what punishment is appropriate for the individual circumstances).
offender,” the additional procedural safeguard of an amenability hearing is required before depriving a juvenile of the benefit of the juvenile court system. The court ruled that because juveniles are constitutionally required to be treated differently from adults for sentencing purposes, that juvenile procedures must also account for that difference.

The court had the authority to make this ruling, however the majority of Aalim I did not perform the appropriate procedural due process analysis, instead relying solely on the principle of fundamental fairness. A proper Mathews v. Eldridge analysis was not performed until the dissenting opinion of Aalim II. Mathews requires a consideration of the petitioner’s interest and the risk of an erroneous deprivation of that interest if the current procedures are used, combined with the value of additional safeguards and weighed against any interest the government has in the matter. A strong argument can be made that these factors are satisfied; and apparently the majority in Aalim II did not dispute this, because they did not perform a Mathews procedural analysis.

Juveniles have a substantial interest in retaining their juvenile status, as the State of Ohio has admitted that the real issue in mandatory transfer cases is punishing, not rehabilitating the offender. Further, mandatory transfer poses a high risk of an erroneous deprivation of a juvenile’s interest in retaining juvenile status and the benefit of the juvenile system. In the probable cause hearing, a juvenile judge is allowed no discretion, and is required to transfer the child to adult court if there is probable cause that the juvenile committed the crime alleged. Moreover, the value of additional safeguards is high. An amenability hearing would require slightly more resources than the already required probable cause hearing, yet it would result in greater protection of a juvenile’s interest in juvenile status, because an individualized determination would allow for a discretionary transfer to adult court if the juvenile judge believes that the juvenile is not able to be rehabilitated.

Finally, although the government certainly has an interest in punishing juvenile criminal offenders, the government also has an interest in serving as its parens patriae role and rehabilitating the juvenile offender. A juvenile’s substantial interest in retaining the benefit of the juvenile justice system is not outweighed by the minimal additional expenditure that an amenability hearing would require. Nor is it outweighed by the governments interest in punishing juvenile crime, because that interest must be balanced by the governments interest in rehabilitating the juvenile offender. The Mathews test is likely satisfied.

The majority in *Aalim I* did not abuse its judicial power in ruling that the Ohio Constitution requires an amenability hearing before a juvenile is transferred to adult court; however, the analysis used to reach that decision was flawed. Even so, the addition of an individualized amenability hearing likely satisfies the procedural due process test enunciated in *Mathews v. Eldridge*. And, while the Supreme Court of the United States has never issued a similar ruling, the Supreme Court of Ohio is permitted to do so, because the Ohio Constitution is a document of independent force. The ruling of *Aalim I* should not have been disturbed.

*Because mandatory transfer to adult court is so similar to sentencing, the Supreme Court of the United States “kids are different” approach should be applied to juvenile proceedings.*

In 2005, the Supreme Court of the United States ruled that the execution of a juvenile is a violation of the Eighth Amendment’s prohibition against “cruel and unusual punishment, because juveniles are categorically different from adults.” Then, in 2010, the Court used this “kids are different” rationale to rule that sentences of life without parole for non-homicidal crimes constitutes cruel and unusual punishment because juveniles are fundamentally different from adults. In 2011, the Court noted that “children are often less mature and responsible than adults;” they “often lack the experience, perspective, and judgment to recognize and avoid choices that could be detrimental to them.” “They are more vulnerable or susceptible to outside pressures than adults” and “children characteristically lack the capacity to exercise mature judgment and possess only an incomplete ability to understand the world around them.” In 2012, the Court explicitly affirmed that “children are constitutionally different from adults for purposes of sentencing,” due to “their diminished culpability and greater prospects for reform.”

The next logical step is to extend this “kids are different” analysis to

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201. *Roper v. Simmons*, 543 U.S. 551, 556 (2005). (The Court noted that children possess “a lack of maturity and an underdeveloped sense of responsibility” which results in “impetuous and ill-considered actions and decisions;” “juveniles are more vulnerable or susceptible to negative pressures, including peer pressure;” and “the character of a juvenile is not as well formed as that of an adult.”).

202. *Graham v. Florida*, 560 U.S. 48, 68-69 (2010). (The Court noted that juveniles’ brains are still maturing through adolescence, and they are more capable of change than adults).


204. *Id.*

205. *Id.*

206. *Id.*

address pre-sentencing procedures; namely, mandatory transfer. The Supreme Court of the United States has repeatedly recognized that there are constitutional differences between children and adults for the purposes of sentencing, however, the Court has not explicitly held that this constitutional difference is limited to sentencing. Indeed, it defies logic to insist that juveniles are constitutionally different for this one limited purpose.

Mandatory transfer to adult court is essentially the same as a sentence: “a transfer to adult court almost always is intended to allow for a harsher sentence than a juvenile court could impose.”208 This is but a truism. If it were not, mandatory transfer would not exist. Regardless, we do not have to speculate as to the function of mandatory transfer, because the State of Ohio settled the matter at oral arguments: “the crux of the issue is punishment.”209 Each transferred juvenile is deprived of the rehabilitative functions of the juvenile justice system and subjected to the harsh realities of adult court. Surely this is what the General Assembly intended when it enacted the statute; however, that does not mean that the statute should pass constitutional muster. Rather, because mandatory transfer implicates punishment and is principally similar to sentencing, the “kids are different” approach should be applied to juvenile proceedings. In recognition that juveniles are fundamentally different than adults, juveniles should have a right to ensure that an individualized determination of the juvenile’s ability to meet the goals of the juvenile justice system is made before transfer to adult court.

Due to the controversial history of substantive due process, this article will not argue for the Supreme Court of the United States to recognize a substantive constitutional right for a juvenile to be entitled to receive the protections of the juvenile justice system. But, in light of the firmly grounded principle that “kids are different,” the Supreme Court of the United States needs to address the issue of mandatory transfer to adult court and make a ruling on whether juveniles are constitutionally different for pre-sentencing purposes.

IV. CONCLUSION

The effect of Aalim I, though now overruled, will send shockwaves throughout the juvenile justice system. Never before has a high court recognized that automatic transfer of juveniles to adult court is a violation of due process. It has been over fifty years since the Supreme Court of the United States has addressed mandatory transfer. The Court

209. Id. at ¶ 74
needs to address what constitutes fundamental fairness in transfer proceedings, and rule as to whether “kids are different” applies to criminal proceedings as well as sentencing. The impact of Aalim is yet to be determined, but it is likely that it has signaled the beginning of the end of automatic transfer of juveniles to adult court.